

SEPTEMBER 30TH PLAN YEAR END

DEADLINE	PLAN ACTIVITY	CATEGORY
January 15 th	Deadline, without extension, to deposit the prior year employer contributions for C-Corporations with fiscal year end 9/30.	Funding
April 1 st	Deadline for first time Required Minimum Distribution.	Distributions
April 15 th	Deadline to return 402(g) excess deferrals to participants from the prior plan year.	Compliance Testing
April 30 th	Filing deadline for IRS Form 5500 (Applicable for Plans not put on extension).	Filing
June 15 th	Deadline, with extension, to deposit the prior year employer contributions for Partnerships with fiscal year end 9/30.	Funding
June 30 th	Deadline for distributing Summary Annual Report if IRS form 5500 was not extended.	Notices
July 15 th	Deadline for filing the IRS Form 5500 (Applicable with filed Extension).	Filing
	Deadline, with extension, to deposit the prior year employer contributions for C-Corporations with fiscal year end 9/30.	Funding
September 1 st	Deadline to provide annual participant notices as applicable to the Plan (Safe Harbor, Qualified Default Investment Alternative (QDIA), Automatic Enrollment & 404a5 Fee Disclosure).	Notices
September 15 th	Deadline for distributing Summary Annual Report if IRS form 5500 was extended.	Notices
October 31 st	Provide year-end employee census data to Trinity Pension Consultants.	Deadline
December 15 th	Deadline, without extension, to deposit the prior year employer contributions for Partnerships with fiscal year end 9/30.	Funding
	Deadline for Actual Deferral Percentage (ADP) and/or Actual Contribution Percentage (ACP) refund.	Compliance Testing

**Please note that the information contained in this calendar is to be used only as reminders of important dates and not as legal or tax advice. It should not be deemed, relied upon or considered for purposes of avoiding any federal tax penalties. If you need financial advice, please contact Trinity Pension Consultants.*